CHIROPRACTIC INTAKE & HISTORY

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NOTICE OF PRIVACY PRACTICES

THIS NOTICE DESCRIBES HOW MEDICAL INFORMATION ABOUT YOU MAY BE USED AND DISCLOSED AND HOW YOU CAN GET ACCESS TO THIS INFORMATION. PLEASE REVIEW IT CAREFULLY.

EFFECTIVE: September 23, 2013

We are required by law to maintain the privacy of protected health information (PHI) and to provide individuals with notice of our legal duties and privacy practices with respect to PHI. We are required to follow the practices described in this Notice. We reserve the right to change our privacy practices and the terms of this Notice at any time. If we change our notice, we will post the revised notice in the facility and will have them available upon request. You can receive a copy of the current notice at any time. This Notice describes how we have extended certain protections to your PHI and how, when, and why we may use and disclosure your PHI. With certain exceptions, we will use or disclose your PHI in the minimum necessary manner to accomplish the intended purpose of the use or disclosure. We will share PHI as is necessary to provide quality health care and receive reimbursement for those services as permitted by law. To the extent there is stricter State or federal law regulating the privacy of your PHI, we will comply with the more strict provisions of law.

You may view this Notice or any new notices on our website at www.uppercervialcmaine.com

USES AND DISCLOSURES OF YOUR PROTECTED HEALTH INFORMATION

We are committed to maintaining the confidentiality of your health information. Your health information may be used and disclosed for purposes of treatment, payment, and health care operations. Outside of these permitted uses, we must have your written and signed authorization unless the law permits or requires the use or disclosure without your authorization. You have the right to revoke that authorization in writing except to the extent any action has been taken in reliance on the authorization.

Treatment. We may use your PHI to treat you. For example, we may ask you to have laboratory tests (such as blood or urine tests), and we may use the results to help us reach a diagnosis. Many of the people who work for our practice - including, but not limited to, our doctors and nurses - may use or disclose your PHI in order to treat you or to assist others in your treatment. Finally, we may also disclose your PHI to other health care providers for purposes related to your treatment.

Payment. We may use and disclose your PHI in order to bill and collect payment for the services and items you may receive from us. For example, we may contact your health insurer to certify that you are eligible for benefits (and for what range of benefits), and we may provide your insurer with details regarding your treatment to determine if your insurer will cover, or pay for, your treatment. Also, we may use your PHI to bill you directly for services and items. We may disclose your PHI to other health care providers and entities to assist in their billing and collection efforts.

Health care operations. We may use and disclose your PHI to operate our business. For example, we may use your PHI to evaluate the quality of care you received from us, or to conduct cost management and business planning activities for our practice. We may disclose your PHI to other health care providers and entities to assist in their health care operations as permitted by law.

Business Associates. It may be necessary for us to provide your health information to certain outside persons or entities that assist us with our health care operations, such as auditing, accreditation, legal services, etc. These business associates are required to properly safeguard the privacy of your health information.

Treatment Alternatives. We may use and disclose your PHI to tell you about possible treatment options or alternatives that may be of interest to you.

Individuals Involved in Your Care or Payment of Your Care. We may, subject to specific limitations, disclose your PHI to friends or family involved in or who help pay for your health care.

As Required by Law. We will disclose your PHI when required to do so by federal, state or local law.

Appointments, Services and Fundraising. We may contact you to provide appointment reminder, information about treatment alternatives, or other health-related benefits and services that may be of interest to you. You have the right to request, and we will accommodate your reasonable requests, to receive communications regarding your health information from us by alternative means or at alternative locations. You may request such confidential communication by sending your written request to the Privacy Officer. We may contact you to support our fundraising efforts. You may opt-out of receiving any further fundraising communications from our facility by notifying our Privacy Officer at (207) 846-5100 in writing of your name, address, and request to be removed from our fundraising mailing and contact lists.

THE FOLLOWING USES AND DISCLOSURES WILL BE MADE ONLY WITH YOUR AUTHORIZATION: (i) uses and disclosures for marketing purposes; (ii) uses and disclosures that constitute the sale of protected health information; (iii) uses and disclosures of psychotherapy notes; and (iv) other uses and disclosures not described in this notice.

SPECIAL USE AND DISCLOSURE SITUATIONS

We may use or disclose medical information about you without your prior authorization for several other reasons. Subject to certain requirements, we may give out medical information about you without prior authorization for public health purposes, accrediting

organizations such as The Joint Commission, required abuse or neglect reporting, health oversight audits or inspections, research studies, funeral arrangements and organ donations, worker's compensation purposes, and emergencies.

We also disclose medical information when required by law, such as in response to a request from law enforcement in specific circumstances or in response to valid judicial or administrative orders.

We may use or disclose your medical information for research purposes but only with your prior authorization or a proper waiver of authorization from an IRB or Privacy Board.

YOUR RIGHTS REGARDING YOUR HEALTH INFORMATION

Restrictions on Use and Disclosure of Individual Health Information. You have the right to request that we restrict how we use and disclosure your health information. These restrictions must be made in writing to our Privacy Officer and signed by you or your representative. We are not required to agree to your restrictions. We cannot agree to limit uses/disclosures that are required by law. In the event of a termination of an agreed-to restriction by us, we will notify you of such termination. You may terminate, in writing or orally, any agreed-to restriction by sending such termination notice to the Privacy Officer.

Access to Individual Health Information. You have the right to inspect and copy your health information. All such requests must be made in writing to our Privacy Officer and signed by you or your representative. We must make PHI available in electronic format upon request and where available. We may charge a fee for the costs of copying, mailing, labor and supplies associated with your request. We may deny your request to inspect and/or copy in certain limited circumstances; however, you may request a review of our denial. Another licensed health care professional chosen by us will conduct reviews.

Amendments to Individual Health Information. You have the right to request that your health information be amended or corrected. We will respond within 60 days unless an extension is taken. In certain cases, we may deny your request for amendment and you will be given written notice that will explain the basis and your right to appeal. You may also submit a statement of disagreement and we may prepare a rebuttal that will be provided to you. All amendment requests must be in writing, signed by you or your representative, and must state the reasons for the amendment. If we make an amendment, we may notify others who work with us and have copies of the un-amended record if we believe that such notification is necessary. You may obtain a Request for Amendment form from the Privacy Officer.

Accounting for Disclosures of Individual Health Information. You have the right to receive an accounting of certain disclosures of your health information made by us after April 14, 2003. Requests must be made in writing and signed by you or your representative. Request for Accounting forms are available from the Privacy Officer. The first accounting in any 12-month period is free; you will be charged a reasonable fee for each subsequent accounting within the same twelve-month period. The right to receive this information is subject to certain exceptions, restrictions, and limitations.

Notification of Breach. We will comply with the requirements of applicable privacy laws related to notifying you in the event of a breach of your PHI.

Right to a Paper Copy of this Notice. You have the right to receive a paper copy of this or any revised Notice and/or an electronic copy by email upon request to the Privacy Officer.

Right to File a Complaint. If you believe that we may have violated your privacy rights, or you disagree with a decision we about access to your PHI, you may file a complaint with the Privacy Officer listed below. You may also file a written complaint with the Secretary of the U.S. Department of Health and Human Services at 200 Independence Avenue, SW, Washington D.C. 20201 or call 1-877-696-6775. There will be no retaliation for filing a complaint.

Right to provide an authorization for other uses and disclosures. We will obtain your written authorization for uses and disclosures that are not identified by this notice or permitted by applicable law. Any authorization you provide to us regarding the use and disclosure of your PHI may be revoked at any time in writing. After you revoke your authorization, we will no longer use or disclose your PHI for the reasons described in the authorization. Please note: we are required to retain records of your care.

Contact: Privacy Officer

If you have questions about this Notice or any complaints about our privacy practices, please contact our privacy officer at 207-846-5100.

A COPY OF THIS NOTICE OF PRIVACY PRACTICES WILL BE MADE AVAILABLE UPON REQUEST.

P:\Health Law Department\FINAL HIPAA Omnibus Rule\Physician Practices\Model Physician	Practice Notice of Privacy Practices
I have read and understand the above privacy policies of this office:	
Signature:	
Name:	Date



TERMS OF ACCEPTANCE

When a patient seeks chiropractic health care and we accept a patient for such care, it is essential for both to be working towards the same objective.

Chiropractic has only one goal. It is important that each patient understand both the objective and the method that will be able to attain it. This will prevent any confusion or disappointment.

Adjustment: An adjustment is the specific application of forces to facilitate the body's correction of vertebral subluxation. Our chiropractic method of correction is by specific adjustments of the spine.

Health: The state of optimal physical, mental, and social well-being, not merely the absence of disease or infirmity.

Vertebral Subluxation: A misalignment of one or more of the 24 vertebra in the spinal column which causes alteration of nerve function and interference to the transmission of mental impulses, resulting in a lessening of the body's innate ability to express its maximum health potential.

We do not offer to diagnose or treat any disease. We only offer to diagnose either vertebral subluxation or neuromusculoskeletal conditions. However, if during the course of a chiropractic spinal evaluation we encounter non-chiropractic or unusual findings, we will advise you. If you desire advice, diagnosis, or treatment for those findings, we will recommend that you seek the services of a health care provider who specializes in that area.

Regardless of what the disease is called, we do not offer to treat it. Nor do we offer advice regarding treatment prescribed by others. OUR ONLY PRACTICE OBJECTIVE is to eliminate a major interference to the expression of the body's innate wisdom. Our only method is specific adjusting to correct vertebral subluxations. However, we may use other procedures to help your body hold the adjustments.

i, nave read and	rully understand the above statements.	
(Print name)	•	
All questions regarding the doctor's objectives pertaining I therefore accept chiropractic care on this basis.	g to my care in this office have been answere	ed to my complete satisfaction.
(Signature)	(Date)	
X-Ray Release (signature required)		
This is to certify that to the best of my knowledge I amperform an x-ray evaluation. I have been advised that x		associates have my permission to
(Signature)	(Date)	
Consent to evaluate and adjust a minor child		
I, being the parent of Have read and fully understand the above terms of acc	legal guardian of eptance and hereby grant permission for my o	child to receive chiropractic care.
(Signature)	(Date)	

Yarmouth Spinal Care

Cancellation & Missed Appointment Policy

The treatment that is planned for you is specific to you. It is important of you to keep the scheduled dates and times to properly complete your treatment. A broken appointment is a loss to three people the patient who missed the valuable time, the patient who could have taken the valuable time, and the doctor who was fully staffed and prepared for the appointment.

Therefore, we ask for at least 24 hour advance notice for cancelling or rescheduling an appointment, otherwise a \$50 fee will be assessed to your account. If you are 20 minutes late to your scheduled appointment your account will be charged a \$50 fee.

*All broken and cancelled appointment fees must be paid prior to scheduling another appointment.

I have read and fully understand the information provided

Date	
	Date

Thank you for **NOT** using perfume, cologne or scented products!

Please refrain from using these before your appointment



Many of our practice members have severe allergic reactions to scented products.

Thank you for your consideration

Dutc

PAIN DIAGRAM

On the diagrams below mark where you are experiencing pain, right now. Use the letters below to indicate the type and location of your sensations.

Key: A - ACHE

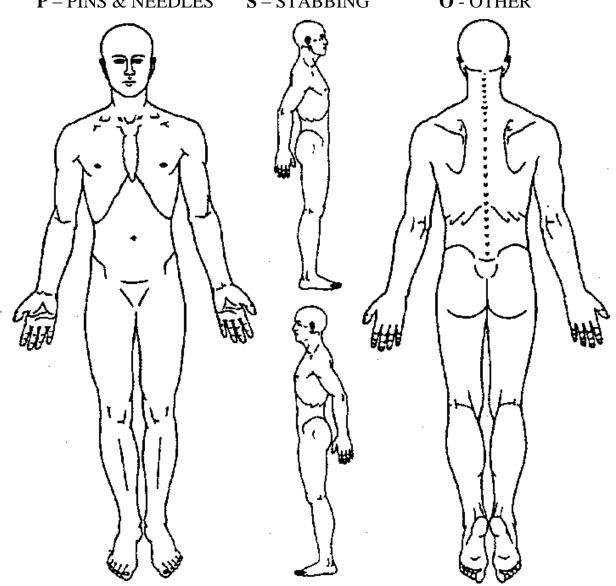
P – PINS & NEEDLES

 \mathbf{B} – BURNING

S – STABBING

N – NUMBNESS

O - OTHER



PAIN SCALE

Rate the severity of your pain by checking one box on the following scale.

 Worst Possible Pain

 0
 1
 2
 3
 4
 5
 6
 7
 8
 9
 10

NECK BOURNEMOUTH QUESTIONNAIRE

Name						Date _				
tions: The follow and mark the ONE							ain and ho	ow it is aff	ecting you	. Please answer AL
Over the past we	eek, on av	erage, hov	w would y	ou rate yo	ur neck pa	uin?				
No pain								Wors	t pain poss	ible
0	1	2	3	4	5	6	7	8	9	10
Over the past we reading, driving		much has	your neck	pain inter	fered with	your daily	activities	s (housewo	ork, washi	ng, dressing, lifting
No interference								Unab	le to carry	out activity
0	1	2	3	4	5	6	7	8	9	10
Over the past we activities?	eek, how	much has	your neck	pain inter	fered with	your abili	ty to take	part in rec	creational,	social, and family
No interference								Unab	le to carry	out activity
0	1	2	3	4	5	6	7	8	9	10
Over the past we Not at all anxiou	eek, how							elaxing) h		een feeling?
Over the past we	eek, how							elaxing) h	nave you b	een feeling?
Over the past we	eek, how a	anxious (to	ense, uptig	ght, irritab	le, difficul	ty in conce	entrating/r	Extre	mely anxio	een feeling? ous 10
Over the past we Not at all anxiou 0	eek, how something the seek, how	anxious (to	ense, uptig	ght, irritab	le, difficul	ty in conce	entrating/r	Extre 8 tic, unhapp	mely anxio	een feeling? ous 10 ou been feeling?
Over the past we Not at all anxiou 0	eek, how something the seek, how	anxious (to	ense, uptig	ght, irritab	le, difficul	ty in conce	entrating/r	Extre 8 tic, unhapp	mely anxio	een feeling? ous 10 ou been feeling?
Over the past we Not at all anxiou 0 Over the past we Not at all depresent 0	eek, how seek, how seek, how seed	anxious (to 2 depressed 2	3 (down-in-	ght, irritab	5 ss, sad, in l	ty in conce	7 , pessimist	Extre 8 tic, unhappe Extre 8	py) have you be mely depre	een feeling? 10 ou been feeling? essed
Over the past we Not at all anxiou 0 Over the past we Not at all depresent 0	eek, how seek, how seek, how seek, how	anxious (to 2 depressed 2	3 (down-in-	ght, irritab	5 ss, sad, in l	ty in conce	7 , pessimist	Extre 8 tic, unhapp Extre 8 has affected	py) have you be mely anxion growth anxion growth are growth growt	een feeling? 10 ou been feeling? essed 10
Over the past we Not at all anxiou 0 Over the past we Not at all depres 0 Over the past we not at all depres 1	eek, how seek, how seek, how seek, how	anxious (to 2 depressed 2	3 (down-in-	ght, irritab	5 ss, sad, in l	ty in conce	7 , pessimist	Extre 8 tic, unhappe Extre 8 has affected	py) have you be mely anxion growth anxion growth are growth growt	een feeling? 10 ou been feeling? essed 10 Id affect) your neck
Over the past we Not at all anxiou 0 Over the past we Not at all depres 0 Over the past we Have made it not be n	eek, how seek, how seek, how seek, how o worse	anxious (to 2 depressed 2 have you to 2	3 (down-in-	ght, irritab	5 ss, sad, in l 5 inside and	ty in conce	7 pessimist 7 ne home)	Extre 8 tic, unhapp Extre 8 has affecte Have	mely anxious py) have your mely deproduced (or wour made it made)	een feeling? 10 ou been feeling? essed 10 Id affect) your neck
Over the past we Not at all anxious 0 Over the past we Not at all depress 0 Over the past we Have made it not 0	eek, how seek, how seek, how o worse	anxious (to 2 depressed 2 have you to 2	3 (down-in-	ght, irritab	5 ss, sad, in l 5 inside and	ty in conce	7 pessimist 7 ne home)	Extre 8 tic, unhappe Extre 8 has affected Have 8 pain on y	mely anxious py) have your mely deproduced (or wour made it made)	een feeling? 10 ou been feeling? essed 10 Id affect) your neck uch worse 10

With Permission from: Bolton JE, Humphreys BK: The Bournemouth Questionnaire: A Short-form Comprehensive Outcome Measure. II. Psychometric Properties in Neck Pain Patients. *JMPT* 2002; 25 (3): 141-148.

BACK BOURNEMOUTH QUESTIONNAIRE

	E number	on EACH	scale that	best descr	ibes how	you feel.				
Over the past v	week, on a	verage, ho	w would y	ou rate yo	our back pa	ain?				
No pain								Wors	t pain poss	ible
0	1	2	3	4	5	6	7	8	9	10
Over the past v				pain inter	fered with	ı your daily	activities	s (housew	ork, washi	ng, dressing
No interference	e							Unab	le to carry	out activity
0	1	2	3	4	5	6	7	8	9	10
Over the past vactivities? No interference		much has	your back	pain inter	fered with	n your abili	ty to take			social, and
			3	4	5	6	7	8	9	10
Over the past v Not at all anxio	ous		ense, uptig	ght, irritab	le, difficu	lty in conce	entrating/r	relaxing) l Extre	nave you b	een feeling ous
Over the past $\sqrt{0}$ Over the past $\sqrt{0}$	veek, how ous 1 week, how	anxious (t	ense, uptig	ght, irritab	le, difficul	lty in conce	entrating/i	Extre 8 ic, unhapp	nave you b mely anxio 9 py) have yo	ous 10 ou been fee
Over the past vote of t	veek, how 1 week, how essed	anxious (t	ense, uptig 3 (down-in-	ght, irritab 4 -the-dump	5 ss, sad, in l	f lty in conce	7 pessimist	Extre 8 ic, unhapp Extre	nave you b mely anxio 9 py) have yo mely depro	ous 10 ou been feelessed
Over the past vote of t	veek, how 1 veek, how essed	anxious (t	anse, uptig	ght, irritab 4 -the-dump	5 ss, sad, in l	6 low spirits,	7 pessimist	Extre 8 ic, unhapper Extre 8	py) have you be mely anxion growth anxion growth anxion growth anxion growth growth anxion growth growth anxion growth gr	ous 10 ou been feelessed 10
Over the past vote of t	veek, how veek, how essed 1 veek, how	anxious (t	anse, uptig	ght, irritab 4 -the-dump	5 ss, sad, in l	6 low spirits,	7 pessimist	Extre 8 ic, unhapp Extre 8 has affected	py) have you be mely anxious py) have you mely deprosed (or would be more than the property of	ous 10 ou been feelessed 10
Over the past volume of the past	veek, how veek, how essed 1 veek, how	anxious (t	anse, uptig 3 (down-in-	ght, irritab 4 -the-dump	5 s, sad, in l	6 low spirits,	7 pessimist 7 ne home) l	Extre 8 ic, unhapp Extre 8 has affected Have	py) have you be mely anxious py) have you mely deprosed (or would be more than the property of	ous 10 ou been feelessed 10 Id affect) youch worse
Over the past volume of the past	veek, how lous 1 week, how essed 1 week, how no worse 1	anxious (t	anse, uptig 3 (down-in- 3 felt your w	ght, irritab 4 -the-dump 4 -york (both	5 ss, sad, in l	6 doutside the	7 pessimist 7 ne home) l	Extre 8 ic, unhapp Extre 8 has affecte Have	py) have you be mely depressed (or wou made it m	ous 10 ou been feelessed 10 Id affect) y
Over the past v Not at all anxio Over the past v Not at all depr Over the past v Have made it v	veek, how lous 1 week, how essed 1 week, how no worse 1 week, how	anxious (t	anse, uptig 3 (down-in- 3 felt your w	ght, irritab 4 -the-dump 4 -york (both	5 ss, sad, in l	6 doutside the	7 pessimist 7 ne home) l	Extre 8 ic, unhapper Extre 8 has affected Have 8 pain on y	py) have you be mely depressed (or wou made it m	ous 10 ou been feeling to be been feeling and to be been feeling to be be be been feeling to be be been feeling to be be be been feeling to be

With Permission from: Bolton JE, Breen AC: The Bournemouth Questionnaire: A Short-form Comprehensive Outcome Measure. I. Psychometric Properties in Back Pain Patients. *JMPT* 1999; 22 (9): 503-510.

Informed Consent to Care

You are the decision maker for your health care. Part of our role is to provide you with information to assist you in making informed choices. This process is often referred to as "informed consent" and involves your understanding and agreement regarding the care we recommend, the benefits and risks associated with the care, alternatives, and the potential effect on your health if you choose not to receive the care.

We may conduct some diagnostic or examination procedures if indicated. Any examinations or tests conducted will be carefully performed but may be uncomfortable.

Chiropractic care centrally involves what is known as a chiropractic adjustment. There may be additional supportive procedures or recommendations as well. When providing an adjustment, we use our hands or an instrument to reposition anatomical structures, such as vertebrae. Potential benefits of an adjustment include restoring normal joint motion, reducing swelling and inflammation in a joint, reducing pain in the joint, and improving neurological functioning and overall well-being.

It is important that you understand, as with all health care approaches, results are not guaranteed, and there is no promise to cure. As with all types of health care interventions, there are some risks to care, including, but not limited to: muscle spasms, aggravating and/or temporary increase in symptoms, lack of improvement of symptoms, burns and/or scarring from electrical stimulation and from hot or cold therapies, including but not limited to hot packs and ice, fractures (broken bones), disc injuries, strokes, dislocations, strains, and sprains. With respect to strokes, there is a rare but serious condition known as an "arterial dissection" that typically is caused by a tear in the inner layer of the artery that may cause the development of a thrombus (clot) with the potential to lead to a stroke. The best available scientific evidence supports the understanding that chiropractic adjustment does not cause a dissection in a normal, healthy artery. Disease processes, genetic disorders, medications, and vessel abnormalities may cause an artery to be more susceptible to dissection. Strokes caused by arterial dissections have been associated with over 72 everyday activities such as sneezing, driving, and playing tennis.

Arterial dissections occur in 3-4 of every 100,000 people whether they are receiving health care or not. Patients who experience this condition often, but not always, present to their medical doctor or chiropractor with neck pain and headache. Unfortunately a percentage of these patients will experience a stroke.

The reported association between chiropractic visits and stroke is exceedingly rare and is estimated to be related in one in one million to one in two million cervical adjustments. For comparison, the incidence of hospital admission attributed to aspirin use from major GI events of the entire (upper and lower) GI tract was 1219 events/ per one million persons/year and risk of death has been estimated as 104 per one million users.

It is also important that you understand there are treatment options available for your condition other than chiropractic procedures. Likely, you have tried many of these approaches already. These options may include, but are not limited to: self-administered care, over-the-counter pain relievers, physical measures and rest, medical care with prescription drugs, physical therapy, bracing, injections, and surgery. Lastly, you have the right to a second opinion and to secure other opinions about your circumstances and health care as you see fit.

I have read, or have had read to me, the above consent. I appreciate that it is not possible to consider every possible complication to care. I have also had an opportunity to ask questions about its content, and by signing below, I agree with the current or future recommendation to receive chiropractic care as is deemed appropriate for my circumstance. I intend this consent to cover the entire course of care from all providers in this office for my present condition and for any future condition(s) for which I seek chiropractic care from this office.

Patient Name:	Signature:	Date:
Parent or Guardian:	Signature:	Date:
Witness Name:	Signature:	Date:



PATIENT CONSENT FOR USE & DISCLOSURE OF PROTECTED HEALTH INFORMATION

With my consent, Yarmouth Spinal Care (YSC) may use and disclose protected health information (PHI) to carry out treatment, payment and healthcare options (TPO). Please refer to Yarmouth Spinal Care Notice of Privacy for a more complete description of such uses and disclosures. I have the right to review the Notice of Privacy Practices prior to e or tice are. out and has vide

Privacy Practices may be obtained by forwarding a other designated location and leave a message on voin carrying out TPO, such as appointment remind With my consent, YSC may mail to my home or oth TPO, such as appointment reminder cards and patie disclosure of my PHI to carry out TPO. I may revo	rise its Notice of Privacy Rights at any time. A revised Notice of written request to YSC. With my consent, YSC may call my home coice mail or in person in reference to any item that assist the practice ers, insurance items and any call pertaining to my chiropractic care er designated location any items that assist the practice in carrying out statements. By signing this form, I am consenting to YSC's use an oke my consent in writing except to the extent that the practice has or consent. If I do not sign this consent, YSC may decline to provide
Signature of Patient or Legal Guardian	Print Name of Patient or Legal Guardian
Authorizatio	n To Pay Doctor/Clinic
• • • • • • • • • • • • • • • • • • • •	cal expense benefits allowable to the doctor/clinic named below as vices rendered. This payment will not exceed my indebtedness to the agreement shall serve as the original.
Signature D (D.1)	Date
Authorization to Pay/Release Is Granted to:	Yarmouth Spinal Care
3BBA; @F? 7@F D7	?;@67DES`V5A??G@;53F;A@
with me by email or standard SMS/text messaging, in aspects of my health care, which may include, but sha understand that email and standard SMS/text messag	physician, Dr. Scott Glocke, and other staff at YSC communicate addition to or to replace leaving phone messages, regarding various ll not be limited to, test results, appointments, and billing. I ing are not confidential methods of communication and may be tere is a risk that email and standard SMS/text messaging regarding hird party.
I give my permission to leave both appointment reminfill-in the ones you agree to): Phone number	nders AND my private health information at the following (please
Email	
Text I give permission to contact me, relative to appointme	ent reminders only, by the following methods:
Phone message at the following number	
Email messages at the following email address	

Text messages at the following phone number_

NOTICE OF PRIVACY PRACTICES

THIS NOTICE DESCRIBES HOW MEDICAL INFORMATION ABOUT YOU MAY BE USED AND DISCLOSED AND HOW YOU CAN GET ACCESS TO THIS INFORMATION. PLEASE REVIEW IT CAREFULLY.

EFFECTIVE: September 23, 2013

We are required by law to maintain the privacy of protected health information (PHI) and to provide individuals with notice of our legal duties and privacy practices with respect to PHI. We are required to follow the practices described in this Notice. We reserve the right to change our privacy practices and the terms of this Notice at any time. If we change our notice, we will post the revised notice in the facility and will have them available upon request. You can receive a copy of the current notice at any time. This Notice describes how we have extended certain protections to your PHI and how, when, and why we may use and disclosure your PHI. With certain exceptions, we will use or disclose your PHI in the minimum necessary manner to accomplish the intended purpose of the use or disclosure. We will share PHI as is necessary to provide quality health care and receive reimbursement for those services as permitted by law. To the extent there is stricter State or federal law regulating the privacy of your PHI, we will comply with the more strict provisions of law.

You may view this Notice or any new notices on our website at www.uppercervialcmaine.com

USES AND DISCLOSURES OF YOUR PROTECTED HEALTH INFORMATION

We are committed to maintaining the confidentiality of your health information. Your health information may be used and disclosed for purposes of treatment, payment, and health care operations. Outside of these permitted uses, we must have your written and signed authorization unless the law permits or requires the use or disclosure without your authorization. You have the right to revoke that authorization in writing except to the extent any action has been taken in reliance on the authorization.

Treatment. We may use your PHI to treat you. For example, we may ask you to have laboratory tests (such as blood or urine tests), and we may use the results to help us reach a diagnosis. Many of the people who work for our practice - including, but not limited to, our doctors and nurses - may use or disclose your PHI in order to treat you or to assist others in your treatment. Finally, we may also disclose your PHI to other health care providers for purposes related to your treatment.

Payment. We may use and disclose your PHI in order to bill and collect payment for the services and items you may receive from us. For example, we may contact your health insurer to certify that you are eligible for benefits (and for what range of benefits), and we may provide your insurer with details regarding your treatment to determine if your insurer will cover, or pay for, your treatment. Also, we may use your PHI to bill you directly for services and items. We may disclose your PHI to other health care providers and entities to assist in their billing and collection efforts.

Health care operations. We may use and disclose your PHI to operate our business. For example, we may use your PHI to evaluate the quality of care you received from us, or to conduct cost management and business planning activities for our practice. We may disclose your PHI to other health care providers and entities to assist in their health care operations as permitted by law.

Business Associates. It may be necessary for us to provide your health information to certain outside persons or entities that assist us with our health care operations, such as auditing, accreditation, legal services, etc. These business associates are required to properly safeguard the privacy of your health information.

Treatment Alternatives. We may use and disclose your PHI to tell you about possible treatment options or alternatives that may be of interest to you.

Individuals Involved in Your Care or Payment of Your Care. We may, subject to specific limitations, disclose your PHI to friends or family involved in or who help pay for your health care.

As Required by Law. We will disclose your PHI when required to do so by federal, state or local law.

Appointments, Services and Fundraising. We may contact you to provide appointment reminder, information about treatment alternatives, or other health-related benefits and services that may be of interest to you. You have the right to request, and we will accommodate your reasonable requests, to receive communications regarding your health information from us by alternative means or at alternative locations. You may request such confidential communication by sending your written request to the Privacy Officer. We may contact you to support our fundraising efforts. You may opt-out of receiving any further fundraising communications from our facility by notifying our Privacy Officer at (207) 846-5100 in writing of your name, address, and request to be removed from our fundraising mailing and contact lists.

THE FOLLOWING USES AND DISCLOSURES WILL BE MADE ONLY WITH YOUR AUTHORIZATION: (i) uses and disclosures for marketing purposes; (ii) uses and disclosures that constitute the sale of protected health information; (iii) uses and disclosures of psychotherapy notes; and (iv) other uses and disclosures not described in this notice.

SPECIAL USE AND DISCLOSURE SITUATIONS

We may use or disclose medical information about you without your prior authorization for several other reasons. Subject to certain requirements, we may give out medical information about you without prior authorization for public health purposes, accrediting

organizations such as The Joint Commission, required abuse or neglect reporting, health oversight audits or inspections, research studies, funeral arrangements and organ donations, worker's compensation purposes, and emergencies.

We also disclose medical information when required by law, such as in response to a request from law enforcement in specific circumstances or in response to valid judicial or administrative orders.

We may use or disclose your medical information for research purposes but only with your prior authorization or a proper waiver of authorization from an IRB or Privacy Board.

YOUR RIGHTS REGARDING YOUR HEALTH INFORMATION

Restrictions on Use and Disclosure of Individual Health Information. You have the right to request that we restrict how we use and disclosure your health information. These restrictions must be made in writing to our Privacy Officer and signed by you or your representative. We are not required to agree to your restrictions. We cannot agree to limit uses/disclosures that are required by law. In the event of a termination of an agreed-to restriction by us, we will notify you of such termination. You may terminate, in writing or orally, any agreed-to restriction by sending such termination notice to the Privacy Officer.

Access to Individual Health Information. You have the right to inspect and copy your health information. All such requests must be made in writing to our Privacy Officer and signed by you or your representative. We must make PHI available in electronic format upon request and where available. We may charge a fee for the costs of copying, mailing, labor and supplies associated with your request. We may deny your request to inspect and/or copy in certain limited circumstances; however, you may request a review of our denial. Another licensed health care professional chosen by us will conduct reviews.

Amendments to Individual Health Information. You have the right to request that your health information be amended or corrected. We will respond within 60 days unless an extension is taken. In certain cases, we may deny your request for amendment and you will be given written notice that will explain the basis and your right to appeal. You may also submit a statement of disagreement and we may prepare a rebuttal that will be provided to you. All amendment requests must be in writing, signed by you or your representative, and must state the reasons for the amendment. If we make an amendment, we may notify others who work with us and have copies of the un-amended record if we believe that such notification is necessary. You may obtain a Request for Amendment form from the Privacy Officer.

Accounting for Disclosures of Individual Health Information. You have the right to receive an accounting of certain disclosures of your health information made by us after April 14, 2003. Requests must be made in writing and signed by you or your representative. Request for Accounting forms are available from the Privacy Officer. The first accounting in any 12-month period is free; you will be charged a reasonable fee for each subsequent accounting within the same twelve-month period. The right to receive this information is subject to certain exceptions, restrictions, and limitations.

Notification of Breach. We will comply with the requirements of applicable privacy laws related to notifying you in the event of a breach of your PHI.

Right to a Paper Copy of this Notice. You have the right to receive a paper copy of this or any revised Notice and/or an electronic copy by email upon request to the Privacy Officer.

Right to File a Complaint. If you believe that we may have violated your privacy rights, or you disagree with a decision we about access to your PHI, you may file a complaint with the Privacy Officer listed below. You may also file a written complaint with the Secretary of the U.S. Department of Health and Human Services at 200 Independence Avenue, SW, Washington D.C. 20201 or call 1-877-696-6775. There will be no retaliation for filing a complaint.

Right to provide an authorization for other uses and disclosures. We will obtain your written authorization for uses and disclosures that are not identified by this notice or permitted by applicable law. Any authorization you provide to us regarding the use and disclosure of your PHI may be revoked at any time in writing. After you revoke your authorization, we will no longer use or disclose your PHI for the reasons described in the authorization. Please note: we are required to retain records of your care.

Contact: Privacy Officer

If you have questions about this Notice or any complaints about our privacy practices, please contact our privacy officer at 207-846-5100.

A COPY OF THIS NOTICE OF PRIVACY PRACTICES WILL BE MADE AVAILABLE UPON REQUEST.

$P: \\ lem:lem:lem:lem:lem:lem:lem:lem:lem:lem:$	Practice Notice of Privacy Practices
I have read and understand the above privacy policies of this office:	
Signature:	
Name:	Date